

3737
4/07/02/03

265/173

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

KERSTEN ET AL.

Serial No. 10/033,116

Filed: December 27, 2001

For: LIGHTING SYSTEMS FOR
MEDICAL ROOMS



Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

RECEIVED
MAY - 6 2002
TECHNOLOGY CENTER R3700

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form PTO-1449 and copies are enclosed for the convenience of the Examiner.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

4/26/02
Date of Deposit

Christina Kavanagh
Christina Kavanaugh

INFORMATION DISCLOSURE STATEMENT FILING PROVISION:

☒ This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d); or (2) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the mailing of a first Office action after filing a request for continued examination under § 1.114. Thus, no fee is required.

☒ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.

☐ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.

PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:

☐ A check in the amount of _____ is enclosed for the above fee(s).

☐ Please charge _____ to Deposit Account No. **12-2475** for the above fee(s).

☒ The Commissioner is authorized to charge any fees required by the filing of these papers, and to credit any overpayment to Lyon & Lyon's Deposit Account No. **12-2475**.

Dated: _____

4/26/02

Respectfully submitted,
LYON & LYON LLP

By: _____

Brandon N. Sklar
Reg. No. 31,667



22249

LYON & LYON LLP
633 W. Fifth Street, Suite 4700
Los Angeles, CA 90071

FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 265/173	SERIAL NO. 10/033,116
	APPLICANT: Kersten et al.	
	FILING DATE: December 27, 2001	GROUP:

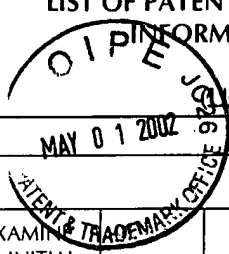
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE	
AA	6,294,915 B1	09/24/01	Murphy et al.				
AB	6,219,480 B1	04/17/01	Cassarly et al.				
AC	5,353,786	10/11/94	Wilk				
AD	4,966,450	10/30/90	Mori				
AE	4,613,926	09/23/86	Heitman et al.				
AF	4,605,990	08/12/86	Wilder et al.				
AG	4,060,724	11/29/77	Heine et al.				
AH	3,437,803	04/08/69	Ernst-Otto Seitz et al.				
AI	3,360,640	12/26/67	Ernst-Otto Seitz et al.				
AJ	2,480,178	08/30/49	I.H. Zinberg				

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO	
AI							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
AJ	"Fiberstars Announces New Energy Efficient Lighting System", Fiberstars, Inc., http://www.fiberstars.com , pgs. 1-3, Apr. 11, 2001	
AK	"Micro Illumination Products, Cogent Technologies", http://www.cogentlight.com/illprod2.html , pg. 1, 2001	
AL	"Putting Surgery in a New Light", Cogent Technologies, http://www.cogentlight.com/illtech2c.html , pgs. 1-2, 2001	
AM	"Micro Illumination Products", Cogent Technologies, http://www.cogentlight.com/illprod2b.html , pg. 1, 2001	

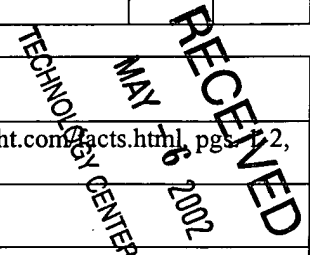
EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	

FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 265/173	SERIAL NO. 10/033,116
	APPLICANT: Kersten et al.	
	FILING DATE: December 27, 2001	GROUP:



FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
YES	NO						
AN							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	
AO	<u>Press Releases</u> , "Cogent Light Fact Sheet", Cogent Technologies, http://www.cogentlight.com/facts.html , pgs. 1-2, 2001
AP	<u>Press Releases</u> , "Putting Surgery Via New Light", Cogent Technologies, http://www.cogentlight.com/illtech2d.html , pg. 1, 2001
AQ	"How to Choose Surgical Lights", Outpatient Surgery Magazine, pgs. 1-4, May 2000
AR	"601 Illuminator - Specification and Submittal Sheet", Fiberstars, 44259 Nobel Drive, Fremont, CA 94538, pgs. 1-2, 1999
AS	"Fiberstars Lighting for the 21 st Century - Specification and Submittal Sheet 210, 219, 220", Fiberstars, 44259 Nobel Drive, Fremont, CA 94538, pgs. 1-2, 1997
ATt	"Fiberscape Fiber Twist Fixture - FS 210 Installation Instructions", Fiberstars, 2883 Bayview Drive, Fremont, CA 94538, pgs. 1-2, July 31, 1995.
AU	
AV	
AW	
AX	
AZ	
BA	
BB	



EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MREP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	